

**Drinking Water SRF Program Information  
for the State of Maine**

<b>Federal and State Investment</b>		<i>For Federal Fiscal Year Ending September 30 of:</i>			
		<b>1997</b>	<b>1998</b>	<b>1999</b>	<b>2000</b>
<b>Federal Grants (Dollars)</b>					
1	Date of Last Award in the Year	-	9/30/98	-	6/13/00
2	Total Annual Federal Grants	\$0	\$19,774,500	\$0	\$7,463,800
3	*Cumulative Federal Grants	\$0	\$19,774,500	\$19,774,500	\$27,238,300
<b>Transfer of Federal Funds Between DWSRF and CWSRF</b>					
4	Amount of Federal Funds Transferred into DWSRF Fund	\$0	\$0	\$0	\$0
5	Amount of Federal Funds Transferred out of DWSRF Fund	\$0	\$0	\$0	\$0
6	*Net Transfer into/(out of) DWSRF	\$0	\$0	\$0	\$0
7	*Cumulative Net Transfer into/(out of) DWSRF	\$0	\$0	\$0	\$0
<b>Quarterly Outlays (Dollars)</b>					
8	First Quarter Outlays	\$0	\$202,417	\$2,409,831	\$979,415
9	Second Quarter Outlays	\$0	\$842,994	\$1,369,092	\$899,131
10	Third Quarter Outlays	\$0	\$2,840,900	\$2,160,899	\$581,597
11	Fourth Quarter Outlays	\$0	\$2,408,537	\$1,839,439	\$361,879
12	*Total Annual Outlays	\$0	\$6,294,848	\$7,779,261	\$2,822,021
13	*Cumulative Outlays	\$0	\$6,294,848	\$14,074,108	\$16,896,130

\* Calculated values.

**Drinking Water SRF Program Information  
for the State of Maine**

<b>Federal and State Investment</b>		<i>For the Reporting Year Ending June 30 of:</i>			
		<b>1997</b>	<b>1998</b>	<b>1999</b>	<b>2000</b>
<b>DWSRF Fund Investment Summary (Calculated Values)</b>					
14	*Annual Capitalization Grants	\$0	\$12,653,200	\$7,121,300	\$7,463,800
15	*Cumulative Capitalization Grants	\$0	\$12,653,200	\$19,774,500	\$27,238,300
<b>Adjustments to the DWSRF Fund</b>					
16	*Annual Net Transfers with CWSRF into/(out of) the DWSRF Fund (from line 43)	\$0	\$0	\$0	\$0
17	*Annual Amount (Awarded) for Set-Asides (from line 101)	\$0	(\$3,175,000)	(\$2,076,750)	(\$1,665,500)
18	*Annual Amount of Transfers into DWSRF Fund from Set-Asides (from line 103)	\$0	\$0	\$0	\$0
19	*Annual Net Federal Contributions Adjusted for Transfers and Set-Asides	\$0	\$9,478,200	\$5,044,550	\$5,798,300
20	*Cumulative Net Federal Contributions Adjusted for Transfers and Set-Asides	\$0	\$9,478,200	\$14,522,750	\$20,321,050
21	*Annual State Contributions	\$0	\$2,500,000	\$0	\$3,000,000
22	*Cumulative State Contributions	\$0	\$2,500,000	\$2,500,000	\$5,500,000
23	*Annual Net Investments for the DWSRF Fund	\$0	\$11,978,200	\$5,044,550	\$8,798,300
24	*Cumulative Net Investments for the DWSRF Fund	\$0	\$11,978,200	\$17,022,750	\$25,821,050
25	*State Contributions as a % of Grants - Annual	-	20%	0%	40%
26	*State Contributions as a % of Grants - Cumulative	-	20%	13%	20%
<b>Outlays (Dollars)</b>					
27	*Annual	\$0	\$3,886,311	\$8,348,358	\$4,299,581
28	*Cumulative	\$0	\$3,886,311	\$12,234,670	\$16,534,251
<b>State Match Contributions (Dollars)</b>					
29	Cash or Appropriations	\$0	\$0	\$0	\$0
30	Bonds Retired Outside the DWSRF Fund	\$0	\$2,500,000	\$0	\$3,000,000
31	Bonds Retired from the DWSRF Fund	\$0	\$0	\$0	\$0
32	Pre-existing Loans	\$0	\$0	\$0	\$0
33	Other Sources	\$0	\$0	\$0	\$0
34	*Total Annual Match Contributions	\$0	\$2,500,000	\$0	\$3,000,000
35	*Cumulative Match Contributions	\$0	\$2,500,000	\$2,500,000	\$5,500,000
<b>Additional Match for State Program Management Activities (Dollars)</b>					
36	Credit for 1993 Funding	\$0	\$328,000	\$328,591	\$328,591
37	Cash Contributions	\$0	\$0	\$0	\$0
38	Contributions as In-Kind Services	\$0	\$397,000	\$408,032	\$0
39	*Total Annual Additional Contribution	\$0	\$725,000	\$736,623	\$328,591
40	*Cumulative Additional Contribution	\$0	\$725,000	\$1,461,623	\$1,790,214
<b>Net Transfer of Funds with CWSRF into/(out of) the DWSRF Fund</b>					
41	*Annual Net Transfer of Federal Funds with CWSRF into/(out of) the DWSRF Fund	\$0	\$0	\$0	\$0
42	Annual Net Transfer of Non-Federal Funds with CWSRF into/(out of) the DWSRF Fund	\$0	\$0	\$0	\$0
43	*Total Annual Net Amount Transferred	\$0	\$0	\$0	\$0
44	*Cumulative Total Net Amount Transferred	\$0	\$0	\$0	\$0
45	*Cumulative Allowable for Transfer out of DWSRF	\$0	\$4,217,733	\$6,591,500	\$9,079,433
46	*Cumulative Remaining Amount Available for Transfer out of DWSRF	\$0	\$4,217,733	\$6,591,500	\$9,079,433

\* Calculated values.

**Drinking Water SRF Program Information  
for the State of Maine**

Set-Asides	For the Reporting Year Ending June 30 of:			
	1997	1998	1999	2000
<b>Administrative Expenses (Dollars)</b>				
47 Amount Awarded for Inclusion in Workplans	\$0	\$500,000	\$280,000	\$298,500
48 Amount Transferred to/(from) Administrative Expenses	\$0	\$0	\$0	\$0
49 *Annual Amount Awarded and Transferred	\$0	\$500,000	\$280,000	\$298,500
50 *Cumulative Amount Awarded, Including Transfers	\$0	\$500,000	\$780,000	\$1,078,500
51 Annual Expenses - DWSRF Administration	\$0	\$349,363	\$259,565	\$170,782
52 Annual Expenses - Technical Assistance	\$0	\$0	\$0	\$0
53 *Total Annual Administrative Expenses	\$0	\$349,363	\$259,565	\$170,782
54 *Cumulative Administrative Expenses	\$0	\$349,363	\$608,928	\$779,710
55 *Remaining Awarded Amount	\$0	\$150,637	\$171,072	\$298,790
<b>Small Systems Technical Assistance</b>				
56 Amount Awarded for Inclusion in Workplans	\$0	\$250,000	\$140,000	\$149,000
57 Amount Transferred to/(from) Small Systems Technical Assistance	\$0	\$0	\$0	\$0
58 *Annual Amount Awarded and Transferred	\$0	\$250,000	\$140,000	\$149,000
59 *Cumulative Amount Awarded, Including Transfers	\$0	\$250,000	\$390,000	\$539,000
60 Annual Expenses - Small System Technical Assistance	\$0	\$0	\$116,519	\$173,328
61 *Cumulative Small Systems Technical Assistance Expenses	\$0	\$0	\$116,519	\$289,847
62 *Remaining Awarded Amount	\$0	\$250,000	\$273,481	\$249,153
63 Annual Number of Systems Receiving Assistance	0	0	781	789
64 *Cumulative Number of Small Systems Receiving Technical Assistance	0	0	781	1,570
<b>State Program Management (Dollars)</b>				
65 Amount Awarded for Inclusion in Workplans	\$0	\$725,000	\$700,000	\$516,000
66 Amount Transferred to/(from) State Program Management	\$0	\$0	\$0	\$0
67 *Annual Amount Awarded and Transferred	\$0	\$725,000	\$700,000	\$516,000
68 *Cumulative Amount Awarded, Including Transfers	\$0	\$725,000	\$1,425,000	\$1,941,000
69 Annual Expenses - PWSS Administration	\$0	\$0	\$397,051	\$227,309
70 Annual Expenses - Source Water Protection Technical Assistance	\$0	\$0	\$177,210	\$192,790
71 Annual Expenses - Capacity Development	\$0	\$0	\$35,999	\$54,407
72 Annual Expenses - Operator Certification Programs	\$0	\$0	\$18,999	\$48,052
73 *Total Annual State Program Management Expenses	\$0	\$0	\$629,259	\$522,558
74 *Cumulative State Program Management Expenses	\$0	\$0	\$629,259	\$1,151,817
75 *Remaining Awarded Amount	\$0	\$725,000	\$795,741	\$789,183

\* Calculated values.

**Drinking Water SRF Program Information  
for the State of Maine**

<b>Set-Asides</b>		<i>For the Reporting Year Ending June 30 of:</i>			
		<b>1997</b>	<b>1998</b>	<b>1999</b>	<b>2000</b>
<b>Local Assistance and Other State Programs (1452(k) Activities)</b>					
76	Amount Awarded for Inclusion in Workplans	\$0	\$1,700,000	\$956,750	\$702,000
77	Amount Transferred to/(from) 1452(k) Activities	\$0	\$0	\$0	\$0
78	*Annual Amount Awarded and Transferred	\$0	\$1,700,000	\$956,750	\$702,000
79	*Cumulative Amount Awarded, Including Transfers	\$0	\$1,700,000	\$2,656,750	\$3,358,750
<b>Loans for Source Water Protection Land Acquisition/Conservation Easements</b>					
80	Annual Dollar Amount of Loans	\$0	\$0	\$570,000	\$566,778
81	*Cumulative Dollar Amount of Loans	\$0	\$0	\$570,000	\$1,136,778
82	Annual Number of Systems Receiving Assistance	0	0	2	1
83	*Cumulative Number of Systems Receiving Assistance	0	0	2	3
84	Annual Number of Acres of Land Acquired for SWP	0	0	435	725
85	*Cumulative Number of Acres of Land Acquired for SWP	0	0	435	1,160
<b>Loans for Incentive-Based Source Water Protection Measures</b>					
86	Annual Dollar Amount of Loans	\$0	\$0	\$0	\$0
87	*Cumulative Dollar Amount of Loans	\$0	\$0	\$0	\$0
88	Annual Number of Systems Receiving Assistance	0	0	0	0
89	*Cumulative Number of Systems Receiving Assistance	0	0	0	0
<b>Source Water Protection Area Delineation/Assessment</b>					
90	Annual Expenses - SWP Area Delineation/Assessment	\$0	\$6,000	\$235,480	\$124,232
91	*Cumulative Expenses - SWP Area Delineation/Assessment	\$0	\$6,000	\$241,480	\$365,712
<b>Wellhead Protection Programs</b>					
92	Annual Expenses - Wellhead Protection Programs	\$0	\$0	\$95,439	\$87,868
93	*Cumulative Expenses - Wellhead Protection Programs	\$0	\$0	\$95,439	\$183,307
<b>Technical or Financial Assistance to PWSs for Capacity Development</b>					
94	Annual Expenses - Technical or Financial Assistance to PWSs	\$0	\$0	\$0	\$22,747
95	*Cumulative Expenses - Technical or Financial Assistance to PWSs	\$0	\$0	\$0	\$22,747
96	Annual Number of Systems Receiving Assistance	0	0	0	3
97	*Cumulative Number of Systems Receiving Assistance	0	0	0	3
98	*Total Annual 1452(k) Activity Dollars	\$0	\$6,000	\$900,919	\$801,625
99	*Cumulative 1452(k) Activity Dollars	\$0	\$6,000	\$906,919	\$1,708,544
100	*Remaining Awarded Amount	\$0	\$1,694,000	\$1,749,831	\$1,650,206
<b>Set-Aside Summary</b>					
101	*Annual Total Awarded Amount for Set-Asides	\$0	\$3,175,000	\$2,076,750	\$1,665,500
102	*Cumulative Total Awarded Amount for Set-Asides	\$0	\$3,175,000	\$5,251,750	\$6,917,250
103	*Annual Net Transfers from Awarded Amounts to DWSRF Fund	\$0	\$0	\$0	\$0
104	*Cumulative Net Transfers from Awarded Amounts to DWSRF Fund	\$0	\$0	\$0	\$0
105	*Annual Net Total Amount Awarded for Set-Asides	\$0	\$3,175,000	\$2,076,750	\$1,665,500
106	*Cumulative Net Total Amount Awarded for Set-Asides	\$0	\$3,175,000	\$5,251,750	\$6,917,250
107	*Total Annual Set-Aside Activity Dollars Expended/Committed	\$0	\$355,363	\$1,906,262	\$1,668,293
108	*Cumulative Set-Aside Activity Dollars Expended/Committed	\$0	\$355,363	\$2,261,625	\$3,929,918
109	*Cumulative Remaining Awarded Amount for Set-Asides	\$0	\$2,819,637	\$2,990,125	\$2,987,332

\* Calculated values.

**Drinking Water SRF Program Information  
for the State of Maine**

DWSRF Fund Assistance	For the Reporting Year Ending June 30 of:			
	1997	1998	1999	2000
<b>Binding Commitments</b>				
110 Annual Dollar Amount	\$0	\$7,814,460	\$15,306,798	\$454,917
111 *Cumulative Dollar Amount	\$0	\$7,814,460	\$23,121,258	\$23,576,175
112 Annual Number of Binding Commitments	0	6	19	5
113 *Cumulative Number of Binding Commitments	0	6	25	30
<b>Type of DWSRF Assistance Provided (Dollars)</b>				
114 Loan Commitments	\$0	\$4,258,930	\$12,816,181	\$237,590
115 Refinance Short-term Debt	\$0	\$3,555,530	\$2,490,617	\$217,327
116 Refinance Long-term Debt	\$0	\$0	\$0	\$0
117 Guarantee or Purchase Insurance	\$0	\$0	\$0	\$0
118 *Total Annual Assistance	\$0	\$7,814,460	\$15,306,798	\$454,917
119 *Cumulative Assistance	\$0	\$7,814,460	\$23,121,258	\$23,576,175
<b>Type of DWSRF Assistance Provided (Number of Assistance Agreements)</b>				
120 Loan Commitments	0	4	16	3
121 Refinance Short-term Debt	0	2	3	2
122 Refinance Long-term Debt	0	0	0	0
123 Guarantee or Purchase Insurance	0	0	0	0
124 *Total Annual Number of Agreements	0	6	19	5
125 *Cumulative Number of Agreements	0	6	25	30
<b>Assistance by Population Size (Dollars)</b>				
126 Less than 501	\$0	\$611,085	\$2,088,241	\$60,780
127 501 to 3,300	\$0	\$1,725,475	\$9,925,413	\$394,137
128 3,301 to 10,000	\$0	\$2,417,900	\$1,058,144	\$0
129 10,001 to 100,000	\$0	\$3,060,000	\$2,235,000	\$0
130 100,001 and Above	\$0	\$0	\$0	\$0
131 *Total Annual Assistance	\$0	\$7,814,460	\$15,306,798	\$454,917
132 *Cumulative Assistance	\$0	\$7,814,460	\$23,121,258	\$23,576,175
<b>Assistance by Population Size (Number of Assistance Agreements)</b>				
133 Less than 501	0	2	6	2
134 501 to 3,300	0	1	11	3
135 3,301 to 10,000	0	2	1	0
136 10,001 to 100,000	0	1	1	0
137 100,001 and Above	0	0	0	0
138 *Total Annual Number of Agreements	0	6	19	5
139 *Cumulative Number of Agreements	0	6	25	30

\* Calculated values.

**Drinking Water SRF Program Information  
for the State of Maine**

DWSRF Fund Assistance	For the Reporting Year Ending June 30 of:			
	1997	1998	1999	2000
<b>Drinking Water System Project Assistance</b>				
<b>(Dollars in each category)</b>				
140 Planning and Design Only	\$0	\$0	\$10,000	\$0
<b>Construction</b>				
141 Treatment	\$0	\$3,774,832	\$2,974,372	\$62,327
142 Transmission & Distribution	\$0	\$0	\$2,069,831	(\$27,110)
143 Source	\$0	\$368,544	\$4,759,463	\$451,810
144 Storage	\$0	\$3,060,000	\$4,758,132	(\$32,110)
145 Purchase of Systems	\$0	\$0	\$0	\$0
146 Restructuring	\$0	\$611,084	\$600,000	\$0
147 Land Acquisition	\$0	\$0	\$135,000	\$0
148 Other	\$0	\$0	\$0	\$0
149 *Total Annual Dollar Amount	\$0	\$7,814,460	\$15,306,798	\$454,917
150 *Cumulative Dollar Amount	\$0	\$7,814,460	\$23,121,258	\$23,576,175
<b>Drinking Water System Project Assistance</b>				
<b>(Number in each category)**</b>				
151 Planning and Design Only	0	0	1	0
<b>Construction</b>				
152 Treatment	0	2	4	1
153 Transmission & Distribution	0	0	3	0
154 Source	0	2	5	3
155 Storage	0	1	7	1
156 Purchase of Systems	0	0	0	0
157 Restructuring	0	2	1	0
158 Land Acquisition	0	0	1	0
159 Other	0	0	0	0
160 *Total Annual Number	0	7	22	5
161 *Cumulative Number	0	7	29	34

\* Calculated values.

\*\* Assistance Agreements may be counted in more than one category when they fund more than one category.

**Drinking Water SRF Program Information  
for the State of Maine**

<b>DWSRF Fund Assistance</b>	<i>For the Reporting Year Ending June 30 of:</i>			
	<b>1997</b>	<b>1998</b>	<b>1999</b>	<b>2000</b>
<b>Number of Projects Funded</b>				
162 Annual Number of Projects Receiving Assistance	0	9	31	5
163 *Cumulative Number of Projects	0	9	40	45
<b>DWSRF Project Starts</b>				
164 Annual Dollar Amount	\$0	\$7,814,460	\$15,296,798	\$237,590
165 *Cumulative Dollar Amount	\$0	\$7,814,460	\$23,111,258	\$23,348,848
166 Annual Number of Assistance Agreements	0	6	18	3
167 *Cumulative Number of Agreements	0	6	24	27
<b>DWSRF Project Completions</b>				
168 Annual Dollar Amount	\$0	\$4,987,519	\$5,432,462	\$980,000
169 *Cumulative Dollar Amount	\$0	\$4,987,519	\$10,419,981	\$11,399,981
170 Annual Number of Assistance Agreements	0	3	10	2
171 *Cumulative Number of Agreements	0	3	13	15
172 Number of Projects Completed	0	5	9	2
173 *Cumulative Number of Projects Completed	0	5	14	16
<b>Assistance to Disadvantaged Communities</b>				
174 Annual Dollar Amount of Assistance	\$0	\$611,084	\$6,251,890	\$486,810
175 *Cumulative Dollar Amount	\$0	\$611,084	\$6,862,974	\$7,349,784
176 Annual Number of Assistance Agreements	0	2	10	3
177 *Cumulative Number of Agreements	0	2	12	15
<b>Assistance with Principal Forgiveness</b>				
178 Annual Dollar Amount of Assistance with Principal Forgiveness	\$0	\$611,084	\$5,559,890	\$486,810
179 *Cumulative Dollar Amount	\$0	\$611,084	\$6,170,974	\$6,657,784
180 Annual Dollar Amount of Principal Forgiven	\$0	\$451,175	\$3,946,932	\$342,607
181 *Cumulative Dollar Amount	\$0	\$451,175	\$4,398,107	\$4,740,714
182 Annual Number of Assistance Agreements with Principal Forgiveness	0	2	9	3
183 *Cumulative Number of Agreements	0	2	11	14
<b>Assistance with Greater than 20-Year Repayment</b>				
184 Annual Dollar Amount of Assistance with > 20-Year Repayment	\$0	\$611,084	\$6,251,890	\$486,810
185 *Cumulative Dollar Amount	\$0	\$611,084	\$6,862,974	\$7,349,784
186 Annual Number of Assistance Agreements with > 20-Year Repayment	0	2	10	3
187 *Cumulative Number of Agreements	0	2	12	15
<b>Population Served in Disadvantaged Communities Receiving Assistance</b>				
188 Population Served	0	155	9,509	1,658
189 *Cumulative Population Served	0	155	9,664	11,322

\* Calculated values.

**Drinking Water SRF Program Information  
for the State of Maine**

Fund Information	For the Reporting Year Ending June 30 of:			
	1997	1998	1999	2000
<b>Assistance for System Compliance</b>				
190 Annual Dollar Amount	\$0	\$5,886,940	\$10,441,638	\$554,137
191 *Cumulative Dollar Amount	\$0	\$5,886,940	\$16,328,578	\$16,882,715
192 Annual Number of Assistance Agreements	0	4	11	3
193 *Cumulative Number of Agreements	0	4	15	18
194 Annual Population Served	0	26,155	26,175	2,720
195 *Cumulative Population Served	0	26,155	52,330	55,050
<b>Assistance to Private Systems</b>				
196 Annual Dollar Amount	\$0	\$611,084	\$1,397,800	\$115,000
197 *Cumulative Dollar Amount	\$0	\$611,084	\$2,008,884	\$2,123,884
198 Annual Number of Assistance Agreements	0	2	3	2
199 *Cumulative Number of Agreements	0	2	5	7
<b>Assistance to Systems by Type</b>				
200 Annual Number of Community Systems	0	6	21	4
201 *Cumulative Number of Community Systems	0	6	27	31
202 Annual Number of non-Community Systems	0	0	0	1
203 *Cumulative Number of non-Community Systems	0	0	0	1
204 Annual Population Served by Community Systems	0	34,555	75,332	3,426
205 *Cumulative Population Served by Community Systems	0	34,555	109,887	113,313
206 Annual Population Served by non-Community Systems	0	0	0	195
207 *Cumulative Population Served by non-Community Systems	0	0	0	195
<b>Assistance for the Creation of New Systems</b>				
208 Annual Dollar Amount	\$0	\$0	\$0	\$0
209 *Cumulative Dollar Amount	\$0	\$0	\$0	\$0
210 Annual Number of Assistance Agreements	0	0	0	0
211 *Cumulative Number of Agreements	0	0	0	0
<b>Assistance for the Consolidation of Systems</b>				
212 Annual Dollar Amount	\$0	\$611,084	\$600,000	\$0
213 *Cumulative Dollar Amount	\$0	\$611,084	\$1,211,084	\$1,211,084
214 Annual Number of Assistance Agreements	0	2	1	0
215 *Cumulative Number of Agreements	0	2	3	3
216 Annual Number of Systems Eliminated	0	2	1	0
217 *Cumulative Number of Systems Eliminated	0	2	3	3
<b>Assistance to Indian Tribes</b>				
218 Annual Dollar Amount	\$0	\$0	\$0	\$0
219 *Cumulative Dollar Amount	\$0	\$0	\$0	\$0
220 Annual Number of Assistance Agreements	0	0	0	0
221 *Cumulative Number of Agreements	0	0	0	0

\* Calculated values.

**Drinking Water SRF Program Information  
for the State of Maine**

Fund Information	For the Reporting Year Ending June 30 of:			
	1997	1998	1999	2000
<b>Leveraged Bonds (Excludes State Match)</b>				
222 Gross Leveraged Bonds Issued - Annual	\$0	\$0	\$4,795,000	\$0
223 Net Leveraged Bonds Issued - Annual	\$0	\$0	\$4,664,157	\$0
224 Cost of Leveraged Bond Issuance - Annual	\$0	\$0	\$130,843	\$0
225 Leveraged Bond Principal Repaid - Annual	\$0	\$0	\$0	\$0
Debt Service Reserve for Leveraged Bonds				
226 *Annual Change	\$0	\$0	\$19,842	\$0
227 Balance at End of Reporting Period	\$0	\$0	\$19,842	\$19,842
228 Net Change in Gross Bonds Resulting from Refunding - Annual	\$0	\$0	\$0	\$0
229 DWSRF Funds Used for Refunding (Excludes Bonds) - Annual	\$0	\$0	\$0	\$0
230 *Gross Leveraged Bonds Issued - Cumulative	\$0	\$0	\$4,795,000	\$4,795,000
231 *Net Leveraged Bonds Issued - Cumulative	\$0	\$0	\$4,664,157	\$4,664,157
232 *Cost of Leveraged Bond Issuance - Cumulative	\$0	\$0	\$130,843	\$130,843
233 *Leveraged Bond Principal Repaid - Cumulative	\$0	\$0	\$0	\$0
234 *Net Change in Gross Bonds Resulting from Refunding - Cumulative	\$0	\$0	\$0	\$0
235 *DWSRF Funds Used for Refunding (Excludes Bonds) - Cumulative	\$0	\$0	\$0	\$0
236 *Leveraged Bonds Outstanding - Balance at End of Reporting Period	\$0	\$0	\$4,795,000	\$4,795,000
<b>State Match Bonds to be Repaid by DWSRF Fund</b>				
237 *Match Bonds Issued - Annual	\$0	\$0	\$0	\$0
238 Match Bond Principal Repaid - Annual	\$0	\$0	\$0	\$0
239 *Match Bonds Issued - Cumulative	\$0	\$0	\$0	\$0
240 *Match Bond Principal Repaid - Cumulative	\$0	\$0	\$0	\$0
241 *Match Bonds Outstanding - Balance at End of Reporting Period	\$0	\$0	\$0	\$0
<b>Interest Paid on Leveraged and Match Bonds</b>				
242 Interest Paid from Capitalized Interest Account and Other DWSRF Funds - Annual	\$0	\$0	\$156,402	\$236,575
243 Interest Paid from Capitalized Interest Account - Annual	\$0	\$0	\$0	\$0
<b>Interest Paid from DWSRF Funds, Excluding Capitalized Interest Account Funds</b>				
244 *Annual Dollar Amount	\$0	\$0	\$156,402	\$236,575
245 *Cumulative Dollar Amount	\$0	\$0	\$156,402	\$392,977

\*Calculated Values

**Drinking Water SRF Program Information  
for the State of Maine**

Fund Information	For the Reporting Year Ending June 30 of:			
	1997	1998	1999	2000
<b>DWSRF Project Assistance Disbursed</b>				
246 Annual DWSRF Fund Disbursements (Excludes 1452(k) Loans)	\$0	\$3,530,947	\$13,080,437	\$4,622,072
247 *Cumulative DWSRF Fund Disbursements	\$0	\$3,530,947	\$16,611,384	\$21,233,456
248 Annual 1452(k) Loan Disbursements	\$0	\$0	\$570,000	\$566,778
249 *Cumulative 1452(k) Loan Disbursements	\$0	\$0	\$570,000	\$1,136,778
<b>DWSRF Loan Repayments - All Loans Except 1452(k) Loans Maintained in a Separate Account</b>				
250 Number of Projects Initiating Principal Repayments	0	0	14	6
251 *Cumulative Number of Projects Initiating Principal Repayments	0	0	14	20
252 Principal Repayments - Annual	\$0	\$0	\$106,864	\$649,506
253 Interest Payments - Annual	\$0	\$0	\$210,244	\$389,649
254 *Principal and Interest - Annual	\$0	\$0	\$317,108	\$1,039,155
255 *Principal Repayments - Cumulative	\$0	\$0	\$106,864	\$756,370
256 *Interest Payments - Cumulative	\$0	\$0	\$210,244	\$599,893
257 *Principal and Interest - Cumulative	\$0	\$0	\$317,108	\$1,356,263
258 Weighted Average Interest Rate on Loans	0.0%	1.6%	1.6%	1.1%
259 State Market Interest Rate	0.0%	4.8%	5.5%	5.7%
<b>DWSRF Loan Repayments - Separately Maintained 1452(k) Loans Only</b>				
260 Principal Repayments - Annual	\$0	\$0	\$0	\$58,000
261 Interest Payments - Annual	\$0	\$0	\$7,467	\$23,418
262 *Principal and Interest - Annual	\$0	\$0	\$7,467	\$81,418
263 *Principal Repayments - Cumulative	\$0	\$0	\$0	\$58,000
264 *Interest Payments - Cumulative	\$0	\$0	\$7,467	\$30,885
265 *Principal and Interest - Cumulative	\$0	\$0	\$7,467	\$88,885
266 Weighted Average Interest Rate on Loans	0.0%	0.0%	2.6%	2.5%
<b>Interest Earnings on Investments</b>				
267 Annual Interest Earnings on Investments in DWSRF Fund (Except 1452(k) Funds)	\$0	\$0	\$82,822	\$93,717
268 *Cumulative Interest Earnings on Investments in DWSRF Fund	\$0	\$0	\$82,822	\$176,539
269 Annual Interest Earnings on 1452(k) Loan Account Investments	\$0	\$0	\$55	\$2,623
270 *Cumulative Interest Earnings on 1452(k) Loan Account Investments	\$0	\$0	\$55	\$2,678
<b>Fees Charged on DWSRF Assistance</b>				
271 Annual Income from Fees Included in Loans	\$0	\$0	\$120,641	\$55,716
272 Annual Income from Fees not Included in Loans	\$0	\$0	\$1,902	\$38,568
273 Annual Interest Earnings from Fee Account	\$0	\$0	\$2,822	\$1,820
274 *Total Annual Income from Fees	\$0	\$0	\$125,365	\$96,104
275 *Cumulative Income from Fees	\$0	\$0	\$125,365	\$221,469
<b>Expenses Paid from DWSRF Fee Accounts</b>				
276 Annual Expenses Paid from Fee Account to Administer DWSRF Fund	\$0	\$0	\$98,347	\$80,914
277 *Cumulative Expenses Paid to Administer DWSRF Fund	\$0	\$0	\$98,347	\$179,261
278 Annual Amount Paid from Fee Account for State Match	\$0	\$0	\$0	\$0
279 *Cumulative Amount Paid from Fee Account for State Match	\$0	\$0	\$0	\$0
280 Annual Expenses Paid from Fee Account for Other Eligible DWSRF Purposes	\$0	\$0	\$0	\$0
281 *Cumulative Expenses Paid for Other Eligible DWSRF Purposes	\$0	\$0	\$0	\$0
<b>DWSRF Administrative Expenses Paid from Funds Other than DWSRF or Fees</b>				
282 Annual Other State Funded Administrative Expenses	\$0	\$0	\$0	\$0
283 *Cumulative Other State Funded Administrative Expenses	\$0	\$0	\$0	\$0

\* Calculated values.

**Drinking Water SRF Program Information  
for the State of Maine**

Other Drinking Water Programs (Separate from DWSRF)	For the Reporting Year Ending June 30 of:			
	1997	1998	1999	2000
<b>Coordinated DWSRF Funding with Other State or Federal Funding Sources</b>				
284 Amount of Coordinated Funding	\$0	\$260,000	\$9,003,150	\$2,216,237
285 *Cumulative Amount of Coordinated Funding	\$0	\$260,000	\$9,263,150	\$11,479,387
286 Number of DWSRF Assistance Agreements Receiving Coordinated Funding	0	1	9	2
287 *Cumulative Number of DWSRF Assistance Agreements Receiving Coordinated Funding	0	1	10	12
288 DWSRF Portion of Coordinated Funding	\$0	\$185,000	\$5,253,358	\$504,137
289 *Cumulative DWSRF Portion of Coordinated Funding	\$0	\$185,000	\$5,438,358	\$5,942,495
<b>State Funded Drinking Water Loan Programs (Separate from DWSRF - Similar Eligibilities)</b>				
290 Annual Dollar Amount of Loans	\$0	\$0	\$0	\$0
291 *Cumulative Dollar Amount	\$0	\$0	\$0	\$0
292 Annual Number of Loans	0	0	0	0
293 *Cumulative Number of Loans	0	0	0	0
<b>State Funded Drinking Water Grant Programs (Separate from DWSRF - Similar Eligibilities)</b>				
294 Annual Dollar Amount of Grants	\$0	\$0	\$0	\$0
295 *Cumulative Dollar Amount	\$0	\$0	\$0	\$0
296 Annual Number of Grants	0	0	0	0
297 *Cumulative Number of Grants	0	0	0	0
<b>Total State Funded Drinking Water Programs (Separate from DWSRF - Similar Eligibilities)</b>				
298 *Dollar Amount of Loans and Grants - Annual	\$0	\$0	\$0	\$0
299 *Dollar Amount of Loans and Grants - Cumulative	\$0	\$0	\$0	\$0
300 *Number of Loans and Grants - Annual	0	0	0	0
301 *Number of Loans and Grants - Cumulative	0	0	0	0
<b>State Funded Drinking Water Loan Programs (Separate from DWSRF - Dissimilar Eligibilities)</b>				
302 Annual Dollar Amount of Loans	\$0	\$0	\$0	\$0
303 *Cumulative Dollar Amount	\$0	\$0	\$0	\$0
304 Annual Number of Loans	0	0	0	0
305 *Cumulative Number of Loans	0	0	0	0
<b>State Funded Drinking Water Grant Programs (Separate from DWSRF - Dissimilar Eligibilities)</b>				
306 Annual Dollar Amount of Grants	\$0	\$0	\$0	\$0
307 *Cumulative Dollar Amount	\$0	\$0	\$0	\$0
308 Annual Number of Grants	0	0	0	0
309 *Cumulative Number of Grants	0	0	0	0
<b>Total State Funded Drinking Water Programs (Separate from DWSRF - Dissimilar Eligibilities)</b>				
310 *Dollar Amount of Loans and Grants - Annual	\$0	\$0	\$0	\$0
311 *Dollar Amount of Loans and Grants - Cumulative	\$0	\$0	\$0	\$0
312 *Number of Loans and Grants - Annual	0	0	0	0
313 *Number of Loans and Grants - Cumulative	0	0	0	0

\* Calculated values.

**Drinking Water SRF Program Information  
for the State of Maine**

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**Lead State Agency**

314 Lead State Agency Name: Department of Human Services  
315 Type of Agency: Health  
316 Mailing Address: 10 State House Station  
317 City: Augusta  
318 Zip Code: 04333-0010  
319 Street Address: 157 Capital Street  
320 City: Augusta  
321 Zip Code: 04330  
322 Director: David Breau  
323 Director's Title: SRF Administrator  
324 Phone Number: (207) 287-5685  
325 Fax Number: (207) 287-4172  
326 E-mail Address: david.breau@state.me.us  
327 Contact Person: David DiProfio  
328 Phone Number: (207) 287-5295  
329 Fax Number: (207) 287-4172  
330 E-mail Address: david.diprofio@state.me.us

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**Secondary Agency**

331 Secondary Agency Name: Municipal Bond Bank  
332 Type of Agency: Financial  
333 Mailing Address: P.O. Box 2268  
334 City: Augusta  
335 Zip Code: 04338-2268  
336 Street Address: 3 University Drive  
337 City: Augusta  
338 Zip Code: 04330  
339 Director: Robert Lenna  
340 Director's Title: Executive Director  
341 Phone Number: (207) 622-9386  
342 Fax Number: (207) 623-5359  
343 E-mail Address: rol@mainebondbank.com  
344 Contact Person: Karen Asselin  
345 Phone Number : (207) 622-9386  
346 Fax Number: (207) 623-5359  
347 E-mail Address: kla@mainebondbank.com

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**Other Agency**

348 Other Agency Name:  
349 Type of Agency:  
350 Mailing Address:  
351 City:  
352 Zip Code:  
353 Street Address:  
354 City:  
355 Zip Code:  
356 Director:  
357 Director's Title:  
358 Phone Number:  
359 Fax Number:  
360 E-mail Address:  
361 Contact Person:  
362 Phone Number:  
363 Fax Number:  
364 E-mail Address:

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**Drinking Water SRF Program Information  
for the State of Maine**

Fund Analysis	For the Reporting Year Ending June 30 of:			
	1997	1998	1999	2000
<b>DWSRF Funds Available for Projects</b>				
365 *Annual (New Funds)	\$0	\$11,978,200	\$9,932,393	\$9,694,597
366 *Cumulative	\$0	\$11,978,200	\$21,910,593	\$31,605,190
<b>DWSRF Assistance as a % of Funds Available</b>				
367 *Annual	-	65%	154%	5%
368 *Cumulative	-	65%	106%	75%
<b>Outlays as a % of Capitalization Grants</b>				
369 *Annual	-	31%	117%	58%
370 *Cumulative	-	31%	62%	61%
<b>Disbursements as a % of Funds Available</b>				
371 *Annual	-	29%	132%	48%
372 *Cumulative	-	29%	76%	67%
<b>Project Starts as a % of Funds Available</b>				
373 *Annual	-	65%	154%	2%
374 *Cumulative	-	65%	105%	74%
<b>Project Completions as a % of Funds Available</b>				
375 *Annual	-	42%	55%	10%
376 *Cumulative	-	42%	48%	36%
<b>Loan Principal Repayments as a % of Funds Available</b>				
377 *Annual	-	0%	1%	7%
378 *Cumulative	-	0%	0%	2%
<b>Disbursements as a % of DWSRF Assistance</b>				
379 *Annual	-	45%	85%	1016%
380 *Cumulative	-	45%	72%	90%
<b>Project Starts as a % of DWSRF Assistance</b>				
381 *Annual	-	100%	100%	52%
382 *Cumulative	-	100%	100%	99%
<b>Project Completions as a % of DWSRF Assistance</b>				
383 *Annual	-	64%	35%	215%
384 *Cumulative	-	64%	45%	48%
<b>Loan Principal Repayments as a % of DWSRF Assistance</b>				
385 *Annual	-	0%	1%	143%
386 *Cumulative	-	0%	0%	3%
<b>Project Completions as a % of Project Starts</b>				
387 *Annual	-	64%	36%	412%
388 *Cumulative	-	64%	45%	49%

\* Calculated values.